

ASIC/ASX MARKET INTEGRITY RULES 2.4.8

Section 1: Application to be a Level Two Accredited Derivatives Adviser

This form is to be completed by a Market Participant nominating a person for accreditation as a Level Two Accredited Derivatives Adviser.

Full name (the 'Applicant'):
Applicant's business & email address:.....
.....
Applicant's date of birth (day/month/year):

Nominating Market Participant (the 'Market Participant').....
Market Participant's business address:.....
.....

By completing this form, the Market Participant nominates the Applicant to be accredited as a Level Two Accredited Derivatives Adviser.

1. The Applicant is a representative of the Market Participant who is the holder of an Australian Financial Services Licence ("AFSL").
The AFSL is issued to:
The AFSL number is:
2. The Applicant's relationship to the Market Participant is as a: *(please tick applicable box)*
Employee
Other
If Other please state relationship:
3. The Applicant has obtained the pass level prescribed for the Level One and Level Two Accreditation Examinations conducted by Kaplan Education Pty Ltd.
or
 Seeks an Exemption from the requirement to sit the examination *(if applying for a **Perpetual Exemption** the Participant supporting documentation must be attached).*
4. Any accreditation fees prescribed by ASIC have been paid.

Section 2: Extent of Advice

If authorised as a Level Two Accredited Derivatives Adviser the Market Participant acknowledges the Applicant may advise and make recommendations only in relation to those stated in the ASIC/ASX Market Integrity Rules, 2.4.4.

The Market Participant accepts liability for any advice given and/or recommendations made by the Applicant outside these limitations.

Signed:.....
(Director, Partner, Senior Representative or Compliance Manager of the Participant)

Name Printed:.....

Position:

Contact email address:

Contact telephone number:

Date:.....

Signed:.....

Managing Director of the Market Participant (if application is for Perpetual Exemption)

Name Printed:.....

Date:.....

Email to ASIC: market.participants@asic.gov.au

Privacy Collection Statement

Please refer to the ASIC Privacy Statement (www.asic.gov.au)