TIER 2 COMPLIANCE SOLUTION

KAPLAN

PROFESSIONAL

Kaplan Professional is part of Kaplan, Inc., the world's largest diversified education company and a subsidiary of The Washington Post Company (NYSE:WPO).

We've brought together Australia's foremost education companies to become one of the country's largest and most experienced providers of professional education for the financial services, tax and accounting and real estate sectors.

RELEVANT
COST-EFFECTIVE
PRACTICAL

Tier 2 Compliance Solution

Kaplan Professional's Tier 2 Compliance Solution addresses both the knowledge and skills required for ASIC RG 146 compliance. It caters for financial advisers in a customer service role who require specialised accreditation.

Self-study modules

Generic Knowledge

Specialist Knowledge modules
(choose one or both)

Cash and Deposit Taking

General Insurance

Adviser Skills module

What you can expect from Tier 2 Compliance Solution

- coverage of Generic and Specialist Knowledge areas as well as Adviser Skills
- distance learning or in-house workshops
- comprehensive learning materials and case studies
- online, open-book, multiple-choice exams for Knowledge areas, offered fortnightly to facilitate rapid compliance
- practical case studies for Skills assessment
- recognition of prior learning and professional experience — participants who have industry employment and professional experience consistent with ASIC RG 146 requirements have a number of options to fast-track through an assessment only alternative.

What are the advantages

- meets compliance under ASIC RG 146
- solution provided by an independent Registered Training Organisation (RTO) and is listed on the ASIC training register
- cost-effective
- relevant professional experience and skills can be recognised for fast-track alternatives
- flexible delivery options
- Knowledge exams are offered every two weeks to facilitate fast-tracked compliance
- in-house workshops can be tailored to your organisation
- students receive a nationally recognised Statement of Attainment from a leading industry provider.

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FOR FINANCIAL ADVISERS IN A CUSTOMER SERVICE ROLE WHO REQUIRE SPECIALISED ACCREDITATION

CONVENIENT FLEXIBLE ACCREDITED

What is included in the Knowledge components?

- comprehensive, self-paced workbooks
- Generic and Specialist Knowledge assessments that are online, open-book, multiple-choice examinations. A minimum result of 70% is required to be deemed competent.

  Exam duration:

  Generic Knowledge — 30 minutes

  Specialist Knowledge — 20 minutes

What is included in the Skills component?

- assessment guidelines for candidates, assessors and line supervisors
- assessment tools that can be customised to meet the needs of an organisation.

Adviser Skills are assessed by a written case study. If a candidate is deemed not yet competent in any part of their case study, they are only required to resubmit that part rather than undertake a whole new case study.

What does the candidate receive on completion of the solution?

A nationally recognised Statement of Attainment will be issued in the relevant advice area(s) following successful completion of the compulsory assessments.

Find out more

For more details about the Tier 2 Compliance Solution please visit **www.kaplanprofessional.edu.au** or call **1300 662 203**.

KAPLAN PROFESSIONAL'S COMPLIANCE OFFERINGS

TIER 1 COMPLIANCE SOLUTION

TIER 2 COMPLIANCE SOLUTION

RG 146 ASSESSMENT & GAP TRAINING PROGRAM

RG 146 NEEDS ANALYSIS

RG 146 WORKPLACE SKILLS ASSESSMENTS

GRADUATE DIPLOMA OF APPLIED FINANCE

DIPLOMA OF FINANCIAL SERVICES (FINANCIAL PLANNING)

Kaplan Education Pty Ltd. ABN 54 089 002 371

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