Accredited Listed Product Advisor (ALPA) Program
Subject outline

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Subject overview

Kaplan Professional, together with the ASX, offers the ALPA program to help students gain a detailed understanding of the characteristics of listed products and learn how to apply listed products to a wealth management strategy.

The more you know about the types of listed products and the strategies behind their use the better you can help your clients take advantage of them. This is especially relevant in an advisory environment where a fee for service business model is driving the need to explore new investment options while effectively managing associated risks.

Subject structure

The ALPA program focuses on the range of ASX listed products including equities, interest rate securities, listed managed investments, warrants and options. Topics include:

- equities, warrants, ETOs, futures, listed property trusts and listed investment trusts
- the fundamentals of interest rate securities and valuation methodology
- portfolio construction using listed investments
- stock selection and analysis
- strategies in the use of derivatives
- gearing in self-managed superannuation funds.

Units of competency

N/A

Duration and work load

Students have a total of 12 weeks to complete the examination for this subject. An additional four weeks is available if students are required to submit more assessment evidence or to resit an examination.

Students should expect to spend up to 20 hours working through the study notes and completing the learning activities. Additional time will be required to prepare for the examination.

Subject pre-requisites

Due to the nature of this course, it is a requirement that students have RG146 experience in Securities and Managed Investments. Derivatives is also preferable, although not required.
Delivery mode

This subject is delivered in a blended mode that includes a set of hard-copy study notes and online learning support and assessment. The online environment is called KapLearn. Interaction with your tutor occurs online and you access your assessment activities through KapLearn. You will also find your study notes and other learning resources in electronic formats in Kaplearn.

There is also a workshop option targeted at the needs of small groups and this is ideal for in-house professional training and dealer groups.

Assessment tasks

There is one assessment task for this subject. You may attempt this task at any time before the conclusion of your 12 week study period. You will receive personal reminder emails as you approach your assessment deadline.

The assessment task for this subject is:

**Examination:** Open book examination that is conducted online and assesses your mastery of the underpinning knowledge for the subject.

CPD points

This program is accredited for 12 CPD points by the Financial Planning Association.

A further 3.5 CPD points have been accredited for those that complete the program via Workshop.

Fees

An updated schedule of fees is available at the Kaplan Professional website: [www.kaplanprofessional.edu.au](http://www.kaplanprofessional.edu.au).

Recognition of prior learning and/or workplace competence

No exemptions are available for this workshop

Education policies

Students who enrol in a Kaplan course are bound by our published Educational Policies, including the policy that sets out our rules for assessment. You can review these policies before you enrol by going to the Kaplan website: [http://www.kaplanprofessional.edu.au/Study_Information/Policies](http://www.kaplanprofessional.edu.au/Study_Information/Policies).

The policies also assist you when your study program does not go as planned and you need extra support.