Subject outline

Accredited Listed Product Adviser (ALPA) Program

Subject overview

Kaplan Professional, together with the ASX, offers the ALPA program to help students gain a detailed understanding of the characteristics of offered products in order to learn how to apply these to a wealth management strategy.

The more you know about the types of listed products and the strategies behind their use the better you can help your clients take advantage of them. This is especially relevant in an advisory environment where a fee for service business model is driving the need to explore new investment options while effectively managing associated risks.

The ALPA program focuses on the range of ASX products including equities, interest rate securities, managed investments, warrants and options. The ALPA program also introduces the concepts of listed managed investments and ASX-traded unlisted managed funds, explaining both exchange-traded products (ETPs) and the ASX mFunds settlement service.

Subject topics

Topic 1: Trade & portfolio fundamentals
Topic 2: Fixed interest rate securities and equities
Topic 3: Derivatives
Topic 4: Structured products
Topic 5: Managed investments
Topic 6: Portfolio construction

Units of competency for Foundations of Financial Planning

There are no units of competency awarded as part of this program.

Subject duration and work load

Students have a total of 12 weeks to complete the assessment requirements for the ALPA program.

This subject will take you between 60 and 120 hours to complete, depending on your experience in the financial services industry.
Subject pre-requisites

Due to the nature of this course, it is a requirement that students have previously completed RG146 studies in securities and managed investments. Derivatives is also preferable (to assist you with the course), but not required.

Delivery mode

This subject is delivered online.

Kaplan’s online learning portal KapLearn enables us to provide you with innovative, interactive learning resources and support. You can access KapLearn from anywhere at any time using a computer or mobile device that has internet access. KapLearn is where you access subject notes, supplementary learning material, practice activities and assessments.

There is also a workshop option targeted at the needs of small groups and this is ideal for in-house professional training and dealer groups.

Assessment tasks

There is one assessment task for this subject. You may attempt this task at any time before the conclusion of your 12 week study period. You will receive personal reminder emails as you approach your assessment deadline.

The assessment task for this subject is:

Examination: Open book examination that is conducted online and assesses your mastery of the underpinning knowledge for the subject.

Fees

An updated schedule of fees is available at the Kaplan Professional website: <www.kaplanprofessional.edu.au>.

CPD

The Financial Planning Association (FPA) provides accreditation for up to 15.5 points of continuing professional development for students who successfully complete this program:

Self-study: 12 CPD points

- Securities: 3.5
- Deposit Products: 1.75
- Managed Investments: 1.75
- Derivatives: 5

Workshop component: 3.5 CPD points
- Securities: 1
- Deposit Products: 0.75
- Managed Investments: 0.5
- Derivatives: 1.25

Combined: 15.5 CPD points

- Securities: 4.5
- Deposit Products: 2.5
- Managed Investments: 2.25
- Derivatives: 6.25

**Exemptions**

Students may be able to obtain an exemption for a Kaplan award subject based on their previous study and/or work experience. To do this they must submit evidence that demonstrates they have already met the requirements of the subject through these other means.

Evidence can take many forms, such as information about approved study, information about work experience, certificates, transcripts or other credentials.

Kaplan’s exemption policy and the request pro forma are available at: <www.kaplanprofessional.edu.au>.

**Student policies**

Students who enrol in a Kaplan course are bound by our published policies, including the policy that sets out our rules for assessment. Students can review these policies before they enrol by going to the Kaplan website: <www.kaplanprofessional.edu.au>.

The policies also assist students when their study program does not go as planned and they need extra support.