



## TASACC TASA 2009 and the TPB Code of Professional Conduct

# Program outline

## Program overview

This course is for financial advisers who provide tax (financial) advice services and wish to be registered with the Tax Practitioners Board (TPB) as a tax (financial) adviser.

The TPB considers it essential that a registered agent be able to demonstrate their knowledge of the Tax Agents Services Act (TASA), including the Code of Professional Conduct as part of the requirements for registration and to be able to meet their statutory obligations in relation to the provision of tax agent services.

Kaplan has partnered with The Tax Institute to deliver this course which meets the TPB's requirements under TPB (I) 10/2011.

The course provides background and information on TASA 2009 and how it applies to financial advisers. The course also covers registration requirements, transitional provisions, the Code of Professional Conduct and administrative sanctions and penalties available to the TPB.

## Learning outcomes

On successful completion of TASA 2009 and the TPB Code of Professional Conduct, students should be able to:

- Recall the objects of TASA 2009
- Quantify the Board's power to apply to the Federal Court for an injunction
- List their obligations to notify the Board of a change of circumstances.
- Recall the eligibility requirements for registration and any transitional provisions available.
- Recognise the criteria for determining whether an individual is a fit and proper person.
- Understand their obligations to comply with the standards and principles of the Code
- Link the administrative sanctions that may be imposed for specific breaches of the Code
- Relate civil penalty provisions to contraventions of conduct of registered and unregistered agents.

## Topics

Unit 1: The objects of TASA 2009

Unit 2: Registration under TASA 2009

Unit 3: The Code of Professional Conduct (the Code)

Unit 4: Administrative sanctions and civil penalty provisions

## Program entry requirements

There are no entry requirements.



## Program duration

Students have a total of 4 weeks to complete the learning and assessment requirements for the TASA 2009 and the TPB Code of Professional Conduct, from their initial enrolment date.

The course does not include hard copy notes and is delivered online.

An additional two weeks (2) is available if required to resubmit an assignment or resit an exam.

## Delivery mode

This Program is delivered online.

Kaplan's online learning portal, KapLearn, enables the provision of innovative, interactive learning resources and support. KapLearn can be accessed anywhere, at any time, using a computer or mobile device that has internet access.

KapLearn is the access point for supplementary learning material, practice activities and assessments.

## Assessment tasks

The assessment tasks for this Program are listed below.

Program	Unit	Assessment	Assessment Detail
TASA 2009 and the TPB Code of Professional Conduct	Unit 1–4	1 Exam	Supervised, open book multiple choice examination.

Students may attempt the exam at any time if they believe they are adequately prepared. Students will receive personal reminder emails as they approach their assessment deadlines.

## Exemptions

Students may be able to obtain an exemption for a Kaplan award subject based on their previous study and/or work experience. To do this, they must submit evidence that demonstrates they have already met the requirements of the subject through these other means.

Evidence can take many forms, such as information about approved study, information about work experience, certificates, transcripts or other credentials.

Kaplan's exemption policy and the request pro forma are available at: <[www.kaplanprofessional.edu.au](http://www.kaplanprofessional.edu.au)>.

## Fees

An updated schedule of fees is available at the Kaplan Professional website: <[www.kaplanprofessional.edu.au](http://www.kaplanprofessional.edu.au)>.

## Student policies

Students who enrol in a Kaplan subject are bound by our published policies, including the policy that sets out our rules for assessment. Students can review these policies before they enrol by going to the Kaplan website: <[www.kaplanprofessional.edu.au](http://www.kaplanprofessional.edu.au)>. The policies also assist students when their study program does not go as planned and they need extra support.