

Credit Precedent List

KAPLAN PROFESSIONAL



Credit Precedent List

This document provides a credit precedent list (advanced standing) for Kaplan Professional's higher education courses.

Precedents are provided as a guide only and should be viewed in conjunction with chosen courses and applicable course requirements.

To qualify for advanced standing as listed in this document, applicants must provide a certified copy of their transcript, certificate or other credentials to verify they have met the recognition of prior learning requirements for the subject(s).

Advanced standing awarded based on this precedent list will be reviewed in accordance with Kaplan Professional's Recognition of Prior Learning (RPL) policy with the following caveat. Where a designation has previously been used to award advanced standing, that designation may only be re-assessed for further advanced standing if applicants are progressing through a nested qualification.

To apply for advanced standing based on this precedent list, you will be required to complete the Kaplan Professional Standard RPL Application Form.

Previous related study / industry designation requirements	Kaplan Professional subject(s) identified for possible credit	Related Kaplan Professional course
Adelaide University (10-year currency)		
Successful completion of the Graduate Certificate in Self-Managed Superannuation Funds (GCSMSF)	FPE020 SMSF Regulation and Taxation	Master of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
Asian Securities Analysts Federation (ASAF) (10-year currency)		
Certified International Investment Analyst (CIIA)	FIN103 Financial Analysis and Valuation FIN206 Investment Management	Master of Applied Finance Graduate Diploma of Applied Finance Graduate Certificate in Applied Finance Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
	FPE200 General Elective	Master of Financial Planning
Association of Chartered Certified Accountants (ACCA) (10-year currency)		
Successful completion of the ACCA Qualification — Fundamentals level (must include F7 Financial Reporting)	FIN200 General Elective	Master of Applied Finance Graduate Diploma of Applied Finance Graduate Certificate in Applied Finance
	FPE014 Financial Accounting Principles	Master of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services

Association of Financial Advisers (AFA)		
Fellow Chartered Financial Practitioner (FChFP) (completed through Kaplan Professional) consisting of the following subjects: (i) AFA 1—Business Strategy for Financial Advisers (ii) AFA 2—Client Experience Strategy (iii) AFA 3—Advanced Advice Solutions (iv) AFA 4—Professional Conduct & Governance for Financial Advisers.	FPC004 Insurance Advice FPC008 Investment Advice FPE012 Marketing for Financial Planning Practices FPE013 Business Planning and Practice Management	Master of Financial Planning Master of Financial Services Graduate Diploma of Financial Services
	FPC004 Insurance Advice FPC008 Investment Advice	Graduate Diploma of Financial Planning Graduate Certificate in Financial Services
	FPC004 Insurance Advice	Graduate Certificate of Financial Planning
CFA Institute (CFA)		
CFA Level I Applicants can send confirmation via email from the CFA as evidence of completion.	FIN101 Financial Markets and Economic Principles FIN202 Fundamentals of Asset Valuation [until 2027SP1 inclusive] FIN207 Applied Corporate Valuation and Analysis [effective from 2027SP2]	Master of Applied Finance Graduate Diploma of Applied Finance Graduate Certificate in Applied Finance Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
CFA Level II Applicants can send confirmation via email from the CFA as evidence of completion.	FIN101 Financial Markets and Economic Principles FIN103 Financial Analysis and Valuation FIN201 Quantitative Applications in Finance FIN202 Fundamentals of Asset Valuation [until 2027SP1 inclusive] FIN207 Applied Corporate Valuation and Analysis [effective from 2027SP2]	Master of Applied Finance Graduate Diploma of Applied Finance Master of Financial Services Graduate Diploma of Financial Services
	FIN101 Financial Markets and Economic Principles FIN202 Fundamentals of Asset Valuation [until 2027SP1 inclusive] FIN207 Applied Corporate Valuation and Analysis [effective from 2027SP2]	Graduate Certificate in Applied Finance Graduate Certificate in Financial Services
CFA Level III Applicants scan send confirmation via email from the CFA as evidence of completion.	FIN101 Financial Markets and Economic Principles FIN103 Financial Analysis and Valuation FIN201 Quantitative Applications in Finance FIN202 Fundamentals of Asset Valuation [until 2027SP1 inclusive] FIN207 Applied Corporate Valuation and Analysis [effective from 2027SP2] FIN206 Investment Management FIN353 Derivative Markets and Strategies	Master of Applied Finance Master of Financial Services
	FIN101 Financial Markets and Economic Principles FIN103 Financial Analysis and Valuation FIN201 Quantitative Applications in Finance FIN202 Fundamentals of Asset Valuation [until 2027SP1 inclusive] FIN207 Applied Corporate Valuation and Analysis [effective from 2027SP2]	Graduate Diploma of Applied Finance Graduate Diploma of Financial Services
	FIN101 Financial Markets and Economic Principles FIN202 Fundamentals of Asset Valuation [until	Graduate Certificate in Applied Finance Graduate Certificate in Financial Services

	2027SP1 inclusive] FIN207 Applied Corporate Valuation and Analysis [effective from 2027SP2]	
	FPC008 Investment Advice FPE015 Derivatives in Financial Planning	Master of Financial Planning
	FPC008 Investment Advice	Graduate Diploma of Financial Planning

Chartered Accountants Australia and New Zealand (CAANZ)		
Current CAANZ membership* (except for affiliate members). * Requires completion of the Chartered Accountants Program. Documentation is required for both membership and program completion.	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2] Two (2) x FIN200 General Electives	Master of Applied Finance Graduate Diploma of Applied Finance
	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2] Two (2) x FIS200 General Electives	Master of Financial Services Graduate Diploma of Financial Services
	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2]	Graduate Certificate in Applied Finance Graduate Certificate in Financial Services
	FPC006 Taxation for Financial Planning FPE014 Financial Accounting Principles	Master of Financial Planning
	FPC006 Taxation for Financial Planning	Graduate Diploma of Financial Planning
Chartered Institute of Management Accountants (CIMA)		
Successful completion of the CIMA professional qualification and CIMA current membership. Documentation is required for both membership and the qualification.	FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2] FIN200 General Elective	Master of Applied Finance Graduate Diploma of Applied Finance Graduate Certificate in Applied Finance
	FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2] FIS200 General Elective	Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
	FPC008 Investment Advice FPE014 Financial Accounting Principles	Master of Financial Planning
	FPC008 Investment Advice	Graduate Diploma of Financial Planning
Corporations (Relevant Providers Degrees, Qualifications and Courses Standard) Determination 2021		
Financial Advice Regulatory & Legal Obligations bridging unit *Applicants must have completed prior studies as specified under "Course Identification" within *Schedule 2—Courses and units of study*	FPC001B Economic and Legal Context for Financial Planning	Master of Financial Planning Graduate Diploma of Financial Planning Graduate Certificate of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
Ethics for Professional Advisers bridging unit *Applicants must have completed prior studies as specified under "Course Identification" within *Schedule 2—Courses and units of study*	FPC002B Ethics and Professionalism in Financial Advice	Master of Financial Planning Graduate Diploma of Financial Planning Graduate Certificate of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services

Behavioural Finance: Client and Consumer Behaviour, Engagement and Decision-Making bridging unit *Applicants must have completed prior studies as specified under "Course Identification" within *Schedule 2—Courses and units of study*	FPC007B Client Engagement Skills	Master of Financial Planning Graduate Diploma of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services

CPA Australia		
Current CPA Australia membership* * Requires completion of the CPA Program (or the CPA Australia conversion course). Documentation is required for both membership and program completion.	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2] Two (2) x FIN200 General Elective	Master of Applied Finance Graduate Diploma of Applied Finance
	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2] Two (2) x FIS200 General Elective	Master of Financial Services Graduate Diploma of Financial Services
	FIN103 Financial Analysis and Valuation One (1) x FIS200 General Elective	Graduate Certificate in Applied Finance Graduate Certificate in Financial Services
	FPC006 Taxation for Financial Planning FPE014 Financial Accounting Principles, *plus* One (1) subject based on completion of at least one (1) Financial Planning elective	Master of Financial Planning
	FPC006 Taxation for Financial Planning, *plus* One (1) subject based on completion of at least one (1) Financial Planning elective	Graduate Diploma of Financial Planning
Financial Planning Association (FPA) / Financial Advice Association Australia (FAAA)		
CFP® designation **(Commenced Jul 1999 – December 2003)** Completion evidence required: CFP1 – Professionalism, Ethics & Compliance CFP2 – Comprehensive Financial Planning 1 CFP3 – Comprehensive Financial Planning 2 CFP4 – Practice Management	FIN101 Financial Markets and Economic Principles FIN206 Investment Management Three (3) x FIN200 General Electives	Master of Applied Finance
	FIN101 Financial Markets and Economic Principles FIN206 Investment Management Three (3) x FIS200 General Electives	Master of Financial Services
CFP® designation **(December 2003 – June 2023)** Completion evidence required: CFP1 – FPA Professionalism CFP2 – Applied Strategies 1 CFP3 – Applied Strategies 2 CFP4 – Investment Strategies	FIN101 Financial Markets and Economic Principles FIN206 Investment Management Two (2) FIN200 General Elective	Graduate Diploma of Applied Finance
	FIN101 Financial Markets and Economic Principles FIN206 Investment Management Two (2) x FIS200 General Elective	Graduate Diploma of Financial Services

CFPC – Certification **CFP® designation** **(Commenced after June 2023)** CFP1 – FAAA Professionalism CFP2 – Applied Strategies 1 CFP3 – Applied Strategies 2 CFP4 – Investment Strategies CFPC – Certification Unit	FIN101 Financial Markets and Economic Principles One (1) x FIN200 General Elective	Graduate Certificate in Applied Finance Graduate Certificate in Financial Services
	FPC004 Insurance Advice FPC008 Investment Advice FPC009 Complex Financial Planning FPE200 General Elective	Master of Financial Planning
	FPC004 Insurance Advice FPC008 Investment Advice	Graduate Diploma of Financial Planning
	FPC004 Insurance Advice	Graduate Certificate of Financial Planning
Life Risk Specialist Program (LRS®) CFP 1 & LRS 2 – Life Risk Principles for Professional Planners, program completion evidence required.	FPC004 Insurance Advice	Master of Financial Planning Graduate Diploma of Financial Planning Graduate Certificate of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
Accredited Estate Planning Specialist Program (AEPS®) CFP 1 & AEPS Unit 2 – Estate Planning, program completion evidence required.	FPC005 Estate and Succession Planning	Master of Financial Planning Graduate Diploma of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
Governance Institute of Australia (5-year currency)		
Successful completion of the Graduate Diploma of Applied Corporate Governance	Three (3) x FIN200 General Electives	Master of Applied Finance Graduate Diploma of Applied Finance
	Three (3) x FIS200 General Electives	Master of Financial Services Graduate Diploma of Financial Services
	FIN200 General Elective	Graduate Certificate in Applied Finance
	FIS200 General Elective	Graduate Certificate in Financial Services
Global Accounting Alliance (GAA) (10-year currency)		
Successful completion of a Chartered Accountant or Certified Public Accountant qualification awarded by one of the following GAA member organisations: • Hong Kong Institute of Certified Public Accountants (HKICPA) Documentation is required for completion of the accounting program and for membership of the GAA organisation.	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2] One (1) x FIN200 General Elective	Master of Applied Finance Graduate Diploma of Applied Finance Master of Financial Services Graduate Diploma of Financial Services
	FIN103 Financial Analysis and Valuation One (1) x FIN200 General Elective	Graduate Certificate in Applied Finance Graduate Certificate in Financial Services
	FPE014 Financial Accounting Principles	Master of Financial Planning
• The Institute of Chartered Accountants in England & Wales (ICAEW) • The Institute of Chartered Accountants of Scotland (ICAS)	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective	Master of Applied Finance Graduate Diploma of Applied Finance

• The Institute of Chartered Accountants in Ireland (ICAI) • Chartered Professional Accountants Canada (CPAC) Documentation is required for completion of the accounting program and for membership of the GAA organisation.	from 2027SP2] FIN200 General Elective	
	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2] FIS200 General Elective	Master of Financial Services Graduate Diploma of Financial Services
	FIN103 Financial Analysis and Valuation FIN342 Applied Corporate Finance [until 2027SP1 inclusive] FIN310 Applied Corporate Finance [effective from 2027SP2]	Graduate Certificate in Applied Finance Graduate Certificate in Financial Services
	FPE014 Financial Accounting Principles	Master of Financial Planning
• The Japanese Institute of Certified Public Accountants (JICPA). Documentation is required for completion of the accounting program and for membership of the GAA organisation.	Three (3) x FIN200 General Electives	Master of Applied Finance Graduate Diploma of Applied Finance
	Three (3) x FIS200 General Electives	Master of Financial Services Graduate Diploma of Financial Services
	Two (2) x FIN200 General Elective	Graduate Certificate in Applied Finance
	Two (2) x FIS200 General Elective	Graduate Certificate in Financial Services
	FPE014 Financial Accounting Principles	Master of Financial Planning
• The South African Institute of Chartered Accountants (SAICA) • The American Institute of Certified Public Accountants (AICPA) Documentation is required for completion of the accounting program and for membership of the GAA organisation.	Three (3) x FIN200 General Electives	Master of Applied Finance Graduate Diploma of Applied Finance
	Three (3) x FIS200 General Electives	Master of Financial Services Graduate Diploma of Financial Services
	FIN200 General Elective	Graduate Certificate in Applied Finance
	FIS200 General Elective	Graduate Certificate in Financial Services
	FPE014 Financial Accounting Principles	Master of Financial Planning
Global Association of Risk Professionals (GARP) (10-year currency)		
Financial Risk Manager (FRM) certification	FIN201 Quantitative Applications in Finance FIN204 Applied Risk Management in Financial ServicesFIN353 Derivative Markets and Strategies Three (3) x FIN200 General Electives	Master of Applied Finance
	FIN201 Quantitative Applications in Finance FIN204 Applied Risk Management in Financial Services FIN353 Derivative Markets and Strategies Three (3) x FIS200 General Electives	Master of Financial Services
	FIN201 Quantitative Applications in Finance FIN204 Applied Risk Management in Financial Services	Graduate Diploma of Applied Finance

	Two (2) x FIN200 General Electives	
	FIN201 Quantitative Applications in Finance FIN204 Applied Risk Management in Financial Services Two (2) x FIS200 General Electives	Graduate Diploma of Financial Services
	FIN201 Quantitative Applications in Finance FIN204 Applied Risk Management in Financial Services	Graduate Certificate in Applied Finance Graduate Certificate in Financial Services

Kaplan Business School [until 2027SP1 inclusive]		
MBA504 Introduction to Data Analytics for Business	FIN373 Data Analytics for Decision Making	Master of Applied Finance Graduate Diploma of Applied Finance Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
MBA641 Strategic Project Management	FIN360 Project Management Fundamentals	
MBA642 Project initiation planning and execution	FIN361 Applied Project Management	
MBA643 Project risk, finance and monitoring	FIN362 Project Risk, Finance and Monitoring	
MBA672 Data Driven Design thinking	FIN372 Data-driven Design Thinking	
Mortgage Broking studies		
FNS40821 Certificate IV in Finance and Mortgage Broking (Kaplan Professional) FNS40820 Certificate IV in Finance and Mortgage Broking (Kaplan Professional)	DFSC101 Financial service fundamentals	Diploma of Financial Services
DIPMB1 Industry knowledge and the lending process (from FNS50322 or FNS50320 Diploma of Finance and Mortgage Broking Management) (Kaplan Professional)	DFSC101 Financial service fundamentals	
SMSF Association		
SMSF Specialist Adviser Designation (SSA) (Studied through the SMSF Association, University of Adelaide, or Kaplan Professional only)	FPE020 SMSF Regulation and Taxation	Master of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services
Tier 1 General Advice and related studies		
Tier 1 Generic Knowledge (Studied through Kaplan Professional only) Tier 1 Generic Knowledge – GAS Super (Studied through Kaplan Professional only)	DFSC101 Financial service fundamentals	Diploma of Financial Services
CFS Client engagement in financial services (Studied through Kaplan Professional only) CFS Client engagement in financial services – GAS Super (Studied through Kaplan Professional only)	DFSC103 Client engagement skills	
RG146 Superannuation (Studied through Association of Superannuation Funds only)	DFSC101 Financial service fundamentals DFSE201 Superannuation fundamentals	
Tier 1 Superannuation – General Advice (Studied through Kaplan Professional only) Tier 1 Superannuation – GAS Super (Studied through Kaplan Professional only)	DFSE201 Superannuation fundamentals	
Tier 1 General Insurance – General Advice (Studied through Kaplan Professional only)	DFSE202 General insurance fundamentals	
Tier 1 Life Insurance – General Advice (Studied through Kaplan Professional only)	DFSE203 Life insurance fundamentals	
Tier 1 Securities and Managed Investments – General Advice (Studied through Kaplan Professional only)	DFSE204 Investment fundamentals	

Tier 1 Financial Planning – General Advice (Studied through Kaplan Professional only)	DFSE209 Introduction to financial advice	
Note: Students who have attained the following Vocational Education Units of Competency will receive an automatic exemption to the below subjects		
FNSASICO503 Provide Tier 1 general advice in general insurance **OR** FNSASICN503 Provide Tier 1 personal advice in general insurance **OR** FNSASICN513 Provide advice in general insurance	DFSE202 General insurance fundamentals	Diploma of Financial Services
FNSASICX503 Provide advice in life insurance	DFSE203 Life insurance fundamentals	
FNSASICW503 Provide advice in securities **AND** FNSASICT503 Provide advice in managed investments	DFSE204 Investment fundamentals	
FNSASICZ503 Provide advice in financial planning **AND** FNSFPL503 Develop and prepare financial plan	DFSE209 Introduction to financial advice	
The Stockbrokers and Financial Advisers Association (SAFAA) / The Stockbrokers Association of Australia		
Successful completion of the Professional Stockbrokers Program / Professional Diploma in Stockbroking	FPC008 Investment Advice	Master of Financial Planning Graduate Diploma of Financial Planning Master of Financial Services Graduate Diploma of Financial Services Graduate Certificate in Financial Services