

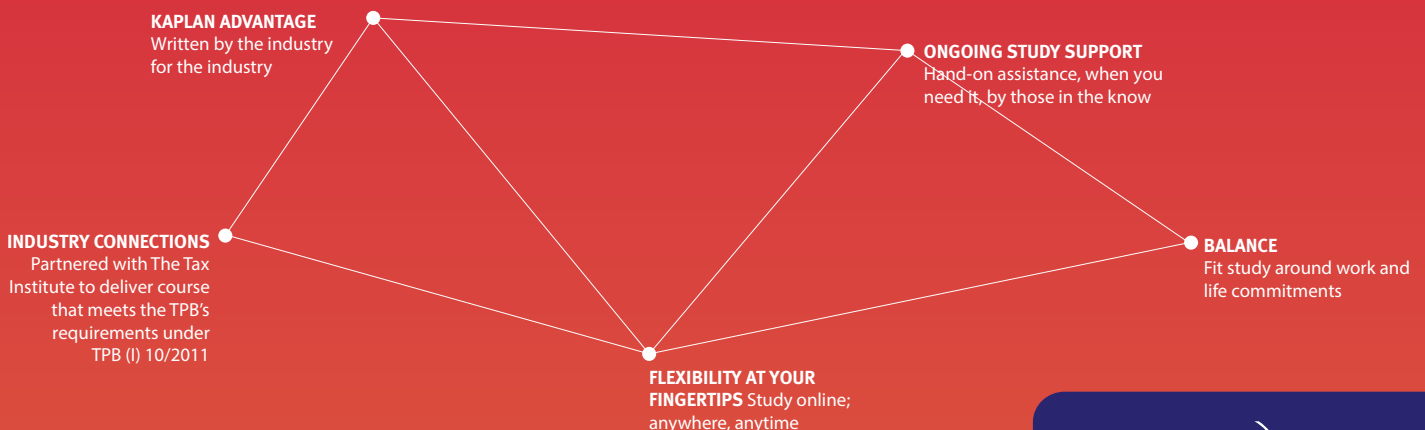


*Learn, to lead.*  
**TASA 2009 and the  
TPB Code of  
Professional Conduct**

Kaplan Professional has partnered with The Tax Institute to deliver this course, which meets the Tax Practitioner Board's requirements under TPB (I) 10/2011.

This subject is for financial advisers who provide tax (financial) advice services and are registered with the Tax Practitioners Board (TPB) as a tax (financial) adviser.

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The TPB considers it essential a registered agent be able to demonstrate their knowledge of the Tax Agents Services Act (TASA), including the Code of Professional Conduct as part of the requirements for registration, and to be able to meet their statutory obligations in relation to the provision of tax agent services.

## LEARNING OUTCOMES

- › Recall the objects of TASA 2009
- › Quantify the Board's power to apply to the Federal Court for an injunction
- › List their obligations to notify the Board of a change of circumstances
- › Recall the eligibility requirements for registration and any transitional provisions available
- › Recognise the criteria for determining whether an individual is a fit and proper person
- › Understand their obligations to comply with the standards and principles of the Code
- › Link the administrative sanctions that may be imposed for specific breaches of the Code
- › Relate civil penalty provisions to contraventions of conduct of registered and unregistered agents

## UNITS COVERED

Unit 1: The objects of TASA 2009

Unit 2: Registration under TASA 2009

Unit 3: The Code of Professional Conduct (the Code)

Unit 4: Administrative sanctions and civil penalty provisions

*"Kaplan is the most reputed institution when it comes to financial services education. After doing a bit of research, I found that Kaplan students are getting an edge when it comes to credibility of the course and material."*

Gayathri Suriyanarayanan

### Enrolment Date

Start anytime.

### Entry Requirements

There are no entry requirements for this course.

### Exemptions

There are no exemptions for this course.

### Duration

4 weeks from activation date.

### Delivery

Online: self-study access to a virtual classroom.

### Assessment

One (1) supervised, open-book, online multiple-choice exam.

### Completion

A Certificate of Completion will be awarded on successful completion.

### Fees

For an updated schedule of fees, please visit our website.



Correct at time of printing. Please refer to our website for more information.  
[kp.edu.au/tasa2009](http://kp.edu.au/tasa2009)



Contact us on 1300 662 203 or via email at [mail@kaplan.edu.au](mailto:mail@kaplan.edu.au) or alternatively speak to your Business Development Manager.

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