

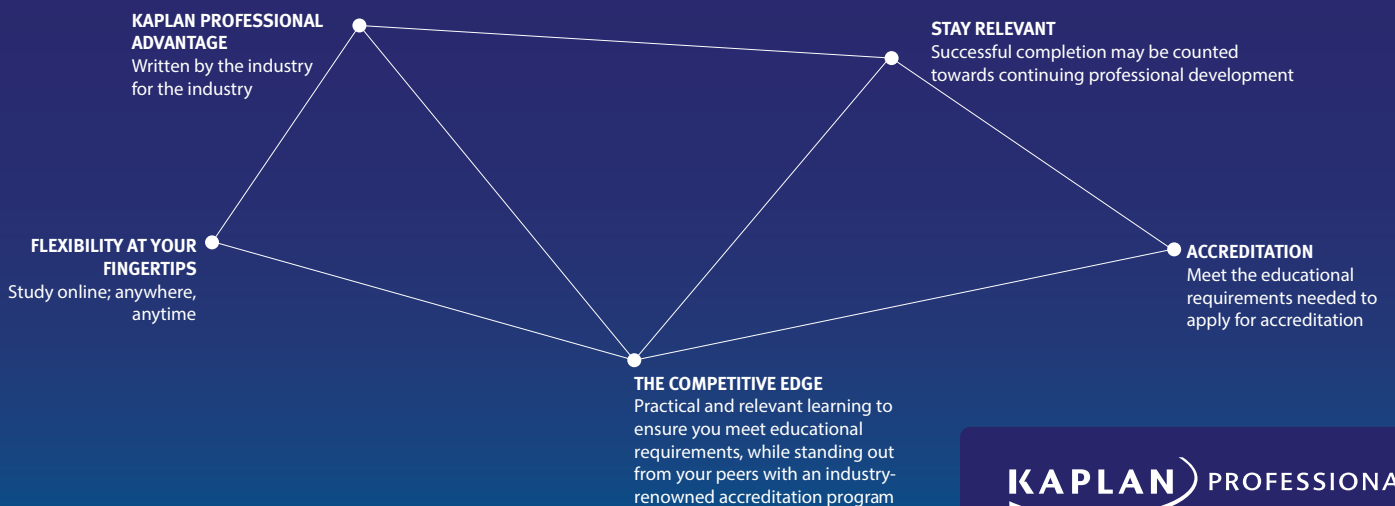


Learn, to lead.
**Accredited Derivatives
Adviser Level 1**

Accredited Derivatives Adviser Level 1 is for representatives of an ASX market participant seeking to become an Accredited Derivatives Adviser Level 1 (ADA1).

This course will provide individuals with a comprehensive understanding of the knowledge and skills required to provide advice on basic derivatives transactions.

kaplanprofessional.edu.au



The Accredited Derivatives Adviser Level 1 course is designed for individuals intending to provide advice on ASX exchange-traded options and warrants.

LEARNING OUTCOMES

- › Demonstrate knowledge of the Australian economy and financial markets with particular emphasis on the share market
- › Demonstrate knowledge of the Australian financial system with reference to the various financial intermediaries, products, services and markets
- › Describe and use terminology defining options markets, strategies, trading and warrants
- › Advise on options, warrants and derivatives products traded on the ASX
- › Advise on selling options to close a taken position
- › Write covered call options

SUBJECT TOPICS

- › Topic 1: Australian economy and financial system
- › Topic 2: Exchange-traded options: fundamentals
- › Topic 3: Exchange-traded options: strategies
- › Topic 4: Warrants: fundamentals, instalments and investment strategies
- › Topic 5: Trading warrants
- › Topic 6: Options and warrants: market trading and advising clients

"Rather than just the theory, I learnt practical application of knowledge. I found the way that the scenarios used in the course material were described, were almost identical to the real-world application. Not only did I gain knowledge, I gained a new outlook on dealing with clients, which has assisted me greatly."

David Cooper

Enrolment Date

Start anytime.

Entry Requirements

While there are no formal prerequisites, at least six (6) months' experience in the derivatives industry would be beneficial due to the specialist nature of the subject content. Only representatives of an ASX market participant may be accredited on successful completion.

Recognition of Prior Learning (RPL)

There is no RPL for this course.

Duration

Individuals have a maximum of 12 weeks to complete the course and assessment requirements.

Delivery

Online: self-study access to a virtual classroom.

Assessment

One (1) supervised, closed-book, multiple-choice exam.

Completion

A Certificate of Completion will be awarded on successful completion. There are no units of competency awarded for this course.

Fees

An updated schedule of fees is available on our website.

Correct at time of printing. For more information about this course, please refer to our website.

Kp.edu.au/ada1

LEVEL 1 DERIVATIVES ACCREDITATION CERTIFICATE

On successful completion, individual's will receive a letter from Kaplan Professional confirming they've passed the Accredited Derivatives Adviser Level 1 exam.

To become a Level 1 Accredited Derivatives Adviser, the application for accreditation of Level 1 Accredited Derivatives Adviser form must be completed by the individual's market participant and forwarded to ASIC. This form can be found on the ASIC website at www.asic.gov.au.

On receipt of a correctly completed form, ASIC will issue the individual's market participant with their Level 1 Derivatives Accreditation Certificate.

