



Tier 1 Financial Planning — General Advice



Subject outline

Subject overview

Financial Planning introduces students to the products, markets and regulatory environment for the financial services industry in Australia. The course also provides students with a step-by-step understanding of the processes in providing professional financial planning advice services.

This also introduces key areas of financial advice, including investment markets, taxation, social security, retirement planning and risk management.

Learning outcomes

On successful completion of *Tier 1 Financial Planning — General Advice*, students should be able to:

- demonstrate their knowledge of the required ethics and professionalism for working within the financial services industry
- identify risks, and the appropriate action required to protect clients against those risks
- apply basic tax and social security concepts.

Topics

Topic 1: Australia's financial system and economic environment

Topic 2: Broad view of financial advice

Topic 3: Working in financial planning

Topic 4: Financial product advice

Topic 5: Introduction to taxation

Topic 6: Overview of financial products

Topic 7: Banking services and products

Topic 8: Government support for those in need

Topic 9: Estate planning

Topic 10: Financial planning in practice.

Units of competency

No units of competency are awarded for this subject.

Subject entry requirements

The prerequisite for this subject is Tier 1 Generic Knowledge.



Subject duration

Students have a total of 12 weeks to complete the learning and assessment requirements for the subject Tier1FPGA *Tier 1 Financial Planning — General Advice*, from their initial enrolment date.

Subject	Duration
Tier1FPGA Tier 1 Financial Planning — General Advice	12 weeks

This subject does not include hard copy notes and is delivered online.

An additional four weeks (4) is available if required to resit an exam.

Delivery mode

This subject is delivered online.

Kaplan's online learning portal, KapLearn, enables the provision of innovative, interactive learning resources and support. KapLearn can be accessed anywhere, at any time, using a computer or mobile device that has internet access.

KapLearn is the access point for subject notes, practice activities and exam. KapLearn is also the access point for ongoing support. The 'Ask your tutor' forum provides continuous technical support from subject matter experts.

Assessment tasks

The assessment task for this subject is listed below.

Topic	Assessment	Assessment Detail
Topics 1–9	1 Exam	Supervised, open book multiple-choice examination, four (4) sittings, competent in all questions.

Students may attempt the exam at any time if they believe they are adequately prepared. Students will receive personal reminder emails as they approach their assessment deadlines.

Students who complete the subject will receive a certificate of completion.

Fees

An updated schedule of fees is available at the Kaplan Professional website: <www.kaplanprofessional.edu.au>.

Student policies

Students who enrol in a Kaplan Professional subject are bound by our published policies, including the policy that sets out our rules for assessment. Students can review these policies and our terms and conditions before they enrol by going to the Kaplan Professional website: <www.kaplanprofessional.edu.au>. The policies also assist students when their study program does not go as planned and they need extra support.